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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER AD 136 / 2001 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/517981 |
| INTERNATIONAL APPLICATION NO. PCT/US03/18806 | INTERNATIONAL FILING DATE 16 June 2003 | PRIORITY DATE CLAIMED 14 June 2002 |
| TITLE OF INVENTION SPIKED PLATE FOR LOG END AND HAND TOOL FOR REMOVING SAME | | |
| APPLICANT(S) FOR DO/EO/US Parent et al. | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input type="checkbox"/> Other items or information: | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|--|--------------|---|--------------|--|-----------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/517961 | | INTERNATIONAL APPLICATION NO. PCT/US03/18806 | | ATTORNEY'S DOCKET NUMBER AC212/2000 | |
| 21. The following fees are submitted: | | | | | |
| <input checked="" type="checkbox"/> a) Basic national fee..... | | | | \$300.00 | \$ 300 |
| <input checked="" type="checkbox"/> b) Examination fee..... | | | | \$200.00 | \$ 200 |
| <input checked="" type="checkbox"/> c) Search fee..... | | | | \$500.00 | \$ 500 |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$1000.00 | \$ 1,000. |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | | RATE | |
| 25 - 100 = | 0 / 50 = | | | x \$250.00 | \$ 0 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | \$ |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | 22 - 20 = | 2 | x \$50.00 | \$ 100 | |
| Independent claims | 4 - 3 = | 1 | x \$200.00 | \$ 200 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | 0 + \$360.00 | \$ 0 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1,300 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | | \$ 650 |
| SUBTOTAL = | | | | \$ 650 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | \$ 65 |
| TOTAL NATIONAL FEE = | | | | \$ 715 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. | | | | | \$ 0 |
| TOTAL FEES ENCLOSED = | | | | \$ 715 | |
| Amount to be refunded: | | | | | \$ |
| Amount to be charged: | | | | | \$ |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>715</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. <u>50-0642</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
|  SIGNATURE David W. Carrithers NAME 35475 REGISTRATION NUMBER | | | | | |

10/517961

DT05 Rec'd PCT/PTO 14 DEC 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Stephen E. Parent)
and Daniel L. Day)
PCT
Filed: Simultaneously Herewith) Examiner: Bryant, David
Group Art Unit:
Serial No:)
For: SPIKED PLATE FOR LOG END AND HAND)
TOOL FOR REMOVING SAME)
which is a National Filing of)
PCT/US03/18806 claiming a priority)
date of June 14, 2002)
Atty. Docket No.: *AO136/2001*)

Mail Stop PCT
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

U.S. PATENT APPLICATION NATIONAL FILING TRANSMITTAL LETTER

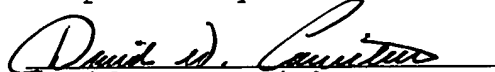
Dear Sir:

- Enclosed herewith for filing are:
- 1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC §371 for PCT/US02/07092;
 - 2) Unexecuted Declaration and Power of Attorney;
 - 3) Express Mail Certificate;
 - 4) Check in the amount of \$715.00 including (\$150 national filing fee, \$50 for 2 claims over 20, \$100 for 1 independent claim over 3, \$250 for search fee, \$100 for examination fee, and \$65 for surcharge for delayed submission of Declaration); and
 - 5) A return postcard showing receipt of the above items.

Applicants qualify as a small entity as defined in 37 CFR 1.9 for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code.

Please charge any underpayment or credit any overpayment to Counsel's Deposit Account 50-0642.

Respectfully submitted,



David W. Carrithers
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6060 Dutchman's Lane, Suite 140
Louisville, KY 40205
Telephone (502) 452-1233
Reg. No. 35,475

10/517961

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Stephen E. Parent)
and Daniel L. Day)
Filed: Simultaneously Herewith) PCT
Serial No:) Examiner: Bryant, David
For: SPIKED PLATE FOR LOG END AND HAND) Group Art Unit:
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which is a National Filing of)
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date of June 14, 2002)
Atty. Docket No.: AD 136/2001)

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EXPRESS MAIL CERTIFICATE

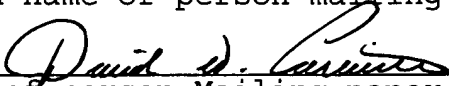
"Express Mail" Mailing Label Number EL333999061US

Date of Deposit December 14, 2004

Dear Sir:

I hereby certify that the attached U.S. Nonprovisional Patent Application based on a national filing of PCT/US03/18806, Transmittal Sheet and check for filing fee and surcharge for delayed filing of executed declaration, unexecuted Declaration and Power of Attorney, and return postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

David W. Carrithers
(Typed or printed name of person mailing paper or fee)


(Signature of person Mailing paper or fee)